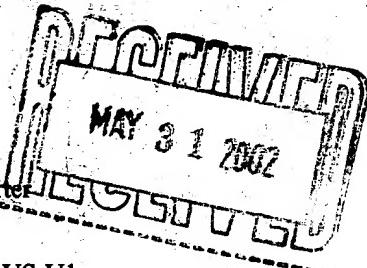




COPY OF PAPERS
ORIGINALLY FILED

F76
BT
5-31-02 216

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Donna B. Dulong et al. Examiner: Rachel L. Porter
Serial No.: 09/815,478 Group Art Unit: 2166
Filed: March 23, 2001 Docket: G&C 136.13-US-U1
Title: METHOD AND APPARATUS FOR PROVIDING MEDICATION ADMINISTRATION
WARNINGS

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 18, 2002.

By: *Jason S. Feldmar*
Name: Jason S. Feldmar

RECEIVED

MAY 02 2002

Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

Dear Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- Supplemental Information Disclosure Statement and Form PTO-1449.
- Cited Reference(s).
- Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462
GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: *Jason S. Feldmar*
Name: Jason S. Feldmar
Reg. No.: 39,187
JSF/kmf

(PTO TRANSMITTAL - GENERAL)

COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: *Donna B. Dulong et al.*

Examiner: *Rachel L. Porter*

Serial No.: *09/815,478*

Group Art Unit: *2166*

Filed: *March 23, 2001*

Docket: *G&C 136.13-US-U1*

Title: **METHOD AND APPARATUS FOR PROVIDING MEDICATION
ADMINISTRATION WARNINGS**

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 18, 2002.

By: *[Signature]*
Name: Jason S. Feldmar

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: April 18, 2002

JSF/kmf

By: Jason S. Feldmar
Jason S. Feldmar
Reg. No.: 39,187

Form 1449® INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION		Docket Number: G&C 136.13-US-U1	Application Number: 09/815,478
		Applicant: Donna B. Dulong et al.	
		Filing Date: March 23, 2001	Group Art Unit: 2166

~~COPY OF PAPERS
ORIGINALLY FILED~~

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILE/DISC DATE IF A/P RECEIVED
						MAY 02 2002
						Technology Center 21
FOREIGN PATENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

✓ American Society of Hosp. Pharm., "ASHP Guidelines on Preventing Medication Errors in Hospitals," Am Jnl Hosp Pharm, 1993, 50: 305-314 (review & commentary only)
✓ G.A. Barry et al., "Bar-Code Technology for Documenting Administration of Large-Volume Intravenous Solutions," Am Jnl of Hosp Pharm, Feb 1989, 46: 282-287
✓ D. Bates et al., "Potential Identifiability and Preventability of Adverse Events Using Information Systems," Jnl of Am Med Informatics Assoc, Sept/Oct 1994, 1(5): 404-411 (review & commentary only)
✓ J.M. Borel et al., "Effect of an Automated, Nursing Unit-Based Drug-Dispensing Device on Medication Errors," Am Jnl of Health-Sys Pharm, Sept 1995, 52: 1875-1879 (review & commentary only)
✓ S.J. Brown et al., "Evaluation of the Impact of a Bedside Terminal System in a Rapidly Changing Community Hospital," Computers in Nursing, 1995, 13(6): 280-284
✓ D.C. Classen et al., "Computerized Surveillance of Adverse Drug Events in Hospital Patients," JAMA, Nov. 1991, 266(20): 2847-2851 (review & commentary only)
✓ R.S. Evans, et al., "Preventing Adverse Drug Events in Hospitalized Patients," The Annals of Pharmacotherapy, Apr 1994, 28: 523-537 (review & commentary only)
✓ R.S. Evans et al., "Using a Hospital Information System to Assess the Effects of Adverse Drug Events," 17 th Annual Symposium on Computer Applications in Medical Care, NY, 1993, 161-165 (review & commentary only)
✓ H.L. Folli et al., "Medication Error Prevention by Clinical Pharmacists in Two Children's Hospitals," Pediatrics, May 1987, 79(5): 718-722 (review & commentary only)
✓ T.H. Grasela et al., "Capability of Hospital Computer Systems in Performing Drug-Use Evaluations and Adverse Drug Event Monitoring," Am Jnl of Hosp Pharm, Sept 1993, 50: 1889-1895 (review & commentary only)
✓ Health Care Strategic Management, "Hospital Drug Errors Tracked, Results Provide Large Medication Incident Database," Aug 1995, 13(8): 17 (review & commentary only)
✓ J.A. Hokanson et al., "Potential Use of Bar Codes to Implement Automated Dispensing Quality Assurance Programs," Hosp. Pharm, May 1985, 20(5): 327-337
✓ B. Lambert, "Predicting Look-Alike and Sound-Alike Medication Errors," Am Jnl of Health-Sys Pharm, May 1997, 54: 1161-1171 (review & commentary only)
✓ C.J. McDonald, "Protocol-Based Computer Reminders, the Quality of Care and the Non-Perfectibility of Man," The New England Jnl of Med, Dec 1976, 295(24): 1351-1355 (review & commentary only)

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

Form 1449*	Docket Number: G&C 136.13-US-U1	Application Number: 09/815,478
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Applicant: Donna B. Dulong et al.	
	Filing Date: March 23, 2001	Group Art Unit: 2166

	G. E. Meyer et al., "Use of Bar Codes in Inpatient Drug Distribution," Am Jnl Hosp Pharm, May 1991, 48(5): 953-966
	G.E. Meyer et al., "Use of Refractive Index Measurement for Quality Assurance of Pediatric Parenteral Nutrient Solutions," Am Jnl of Hosp Pharm, July 1987, 44: 1617-1620 (review & commentary only)
	D.A. Miller et al., "Pharmacy Technicians and Computer Technology to Support Clinical Pharmacy Services," Am Jnl Hosp Pharm, May 1993, 50(5): 929-934
	J.D. Moore, Jr., "Accident Insurance: Dana-Farber Seeks Foolproof Ways to Stop Medical Errors," Modern Healthcare, Apr 1997, 27(16): 44-45 (review & commentary only)
	M. Neuenschwander, "Limiting or Increasing Opportunities for Errors with Dispensing Automation," Hosp Pharm, Sept. 1996, 31(9): 1102-1106 (review & commentary only)
	E.G. Nold et al., "Bar Codes and Their Potential Applications in Hospital Pharmacy," Am Jnl of Hosp Pharm, Dec 1985, 42(12): 2722-2732
	F. Puckett, "Medication-Management Component of a Point-of-Care Information System," Am Jnl Health-Sys Pharm, June 1995, 52: 1305-1309
	J.M. Wodtke et al., "Use of Medical Record Codes to Identify Adverse Drug Reactions," Am Jnl of Hosp Pharm, Sept. 1993, 50: 1915-1916 (review & commentary only)
	B.J. Zarowitz et al., "Bar-Code Technology Applied to Drug-Use Evaluation," Am Jnl Hosp Pharm, May 1993, 50: 935-939

COPY OF PAPERS
ORIGINALLY FILED

RECEIVED

MAY 02 2002

Technology Center 2100

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	